



# A new process for the esterification of wood by reaction with vinyl esters

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## Abstract

A novel route to wood modification by transesterification of vinyl esters is developed in the current study. The reaction between varied saturated and unsaturated vinyl esters and the hydroxyl groups of maritime pine sapwood (*Pinus pinaster* Soland) was examined using potassium carbonate as a catalyst. The esterification of wood was investigated by weight percent gain calculations (WPG), Fourier-transform infrared spectroscopy (FTIR) and  $^{13}\text{C}$  cross-polarization with magic-angle spinning nuclear magnetic resonance spectroscopy ( $^{13}\text{C}$  CP–MAS NMR). Differences in the rates of modification were noted, depending on the vinyl ester used, but relatively high yields were obtained in all cases. The infrared and NMR spectra of the different esterified samples were analysed in detail and the assignment of the signals corresponding to the grafted acyl groups confirmed that esterification occurred.

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## 1. Introduction

Chemical modification has proven to be a very effective means of improving the material properties of wood (Hill, 2006; Hon, 1996; Rowell, 1983; Rowell, 2006). Among the many reactions examined, esterification using acid chlorides or anhydrides have received the most attention and have been reported to greatly improve a number of wood properties. The dimensional stability and fungal resistance of wood can be greatly enhanced if esterification is performed in the bulk of the material, e.g., if the cell walls are modified (Chang & Chang 2003; Hill & Jones, 1996; Hill et al., 2005; Iwamoto & Itoh, 2005; Iwamoto, Itoh, & Minato, 2005; Larsson, Brelid, Simonson, Bergman, & Nilsson, 2000; Li, Furuno, & Katoh, 2000; Prakash, Pandey, Ram, & Mahadevan, 2006; Rowell, 2003; Rowell, 2006). The photostability and weathering resistance of the wood surfaces can be also improved by such treatments (Chang & Chang, 2001a,b, 2003; Evans, 1998; Evans,

Owen, Schmid, & Webster, 2002; Evans, Wallis, & Owen, 2000; Ohkoshi, 2002; Prakash et al., 2006). However, these esterification methods generate unwanted acidic by-products that can degrade wood. For example, the hydrochloric acid released after the reaction of wood with acyl chlorides catalyses the hydrolysis of holocellulose and leads to strength losses. Weaker acids are liberated when esterification is performed with acid anhydrides, but these are generally hard to remove from wood after the reaction and impart an undesirable odour to the wood and may cause strength losses or the corrosion of metal fasteners (Larsson Brelid, 2002; Li et al., 2000; Simonson & Rowell, 2000).

Recently, wood has been successfully acetylated by a new method based on the transesterification of vinyl acetate (Jebrane & Sèbe, 2007). Acetylated wood was obtained under mild conditions and in high yield, with potassium carbonate as a catalyst. One clear advantage of this method is that the by-product released is acetaldehyde, which is non-acidic and can be easily removed from wood after reaction because of its low boiling point ( $b.p._{[760\text{ mm Hg}]} = 21\text{ }^{\circ}\text{C}$ ). In this preliminary work, vinyl acetate was used as an acetylating agent, but if other vinyl esters were used, it is possible that a wide variety of acyl groups could be grafted in wood by this method.

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
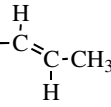


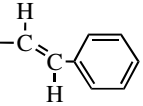
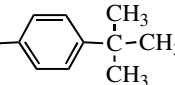


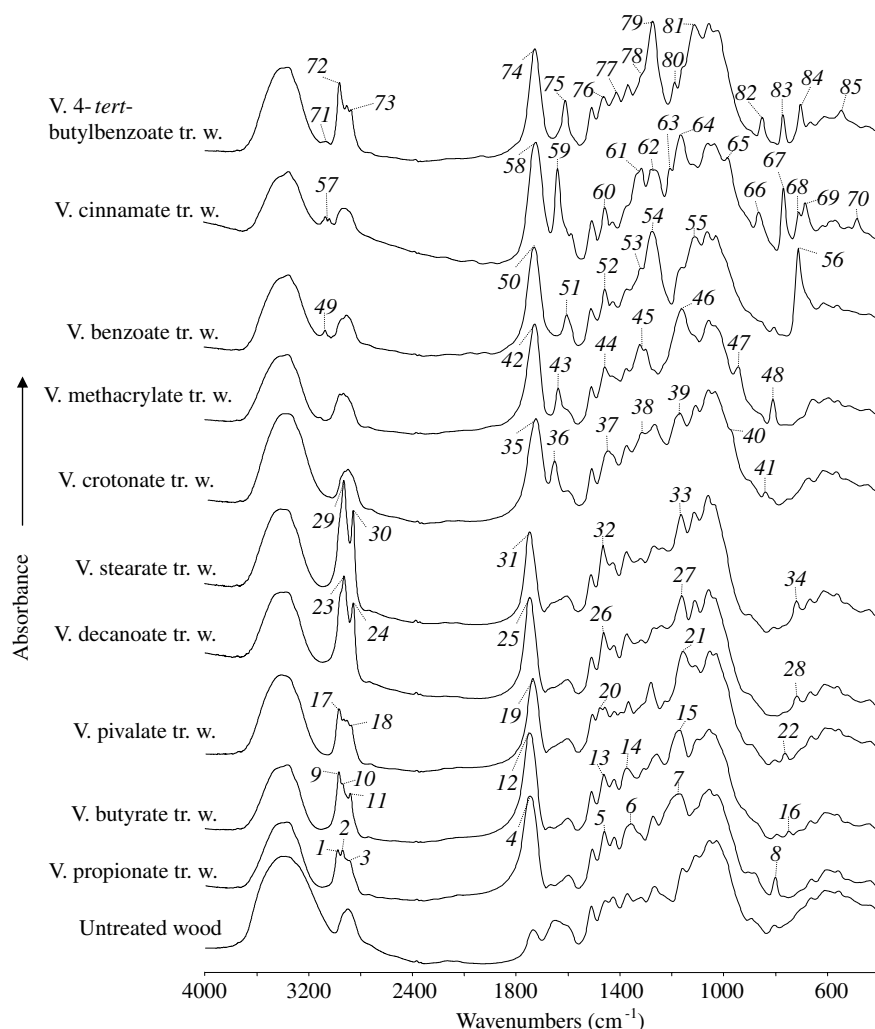
solvent, 90 °C, 3 h and 1.1 mmol K<sub>2</sub>CO<sub>3</sub>/g dry wood. The vinyl esters used and the weight percentage gains (WPG) obtained after reaction are presented in Table 1. The number of OH groups substituted in wood after each treatment is also reported (in mmol/g dry wood) assuming a 1:1 molar reaction between the vinyl esters and wood hydroxyl groups. Relatively high WPG's were obtained in all cases, indicating that a significant amount of chemicals remained bounded to wood after reaction and extensive extraction with appropriate solvents. Differences in the rates of modification were, however, noted depending on the vinyl ester used, but since no statistical analysis was done only general trends will be discussed. With saturated vinyl esters, the rate of OH groups substituted decreased with increasing molecular weight of the reactant, probably because of differences in the kinetics of diffusion within the wood cell walls. A similar trend was observed with the aryl-containing vinyl esters. Several kinetics studies performed with acetic anhydride demonstrated that reactions with wood were controlled by the diffusion of the reagent within the material (Hill, Jones, Strickland, & Çetin, 1998; Minato, 2004; Minato & Ito, 2004). Lower kinetics of diffusion are therefore logically expected when the vinyl esters are

bulkier. But the type of substituent (R group in Fig. 1) probably also affects the reactivity of the carbonyl group, especially when saturated and unsaturated reactants are compared. The difference in reactivity noted between vinyl crotonate and vinyl methacrylate can not be explained at present.

All the modified samples were characterised by FTIR spectroscopy. The characteristic vibrations of the grafted acyl groups can be identified by numbers on the different spectra (Fig. 2). The assignments of the different vibrations bands in Table 2 were obtained both by compiling literature data (Silverstein, Bassler, & Morill, 1991) and comparing the different spectra. From the spectra of vinyl decanoate and vinyl stearate treated wood, it was possible to precisely identify the asymmetrical and symmetrical stretching vibrations of the methylene groups, which are numerous with these reactants ( $\nu_{as}$  and  $\nu_s$  at about 2940 and 2850 cm<sup>-1</sup>, respectively). Stretching vibrations of the methyl groups were obtained with samples treated by vinyl pivalate and vinyl 4-*tert*-butylbenzoate ( $\nu_{as}$  and  $\nu_s$  at about 2970 and 2880 cm<sup>-1</sup>, respectively) and the =C–H stretching vibrations with samples treated by vinyl benzoate, vinyl cinnamate, and vinyl 4-*tert*-butylbenzoate ( $\nu$  [C–H] at about 3060 cm<sup>-1</sup>). This last band was only observed in the aryl-containing esters. The most characteristic band in all spectra was the carbonyl stretching vibration, which emerged at about 1750 cm<sup>-1</sup> in the samples with saturated acyl groups. Because of the conjugation with the alkene groups or aromatic rings, this value shifted to 1720 cm<sup>-1</sup> with unsaturated esters. The spectra of these samples displayed C=C stretching vibrations in the 1600–1650 cm<sup>-1</sup> region. The band was particularly strong with vinyl cinnamate treated wood (59 in Fig. 2) because the conjugation of the alkene with the aromatic ring amplifies the absorption of the alkene (Silverstein et al., 1991). The interpretation of the bands in the fingerprint region is trickier, but a number of acyl vibrations have been identified. The band at about 1460 cm<sup>-1</sup>, observed in all methyl and methylene containing esters, was assigned to both CH<sub>2</sub> scissoring and CH<sub>3</sub> rocking modes ( $\delta_s$  and  $\delta_{as}$ , respectively), which generally overlap (Silverstein et al., 1991). Its intensity was stronger with vinyl decanoate and vinyl stearate treated samples, because of the high number of methylene moieties with these compounds. The bands that emerged in the same area with vinyl benzoate and vinyl cinnamate treated wood (52 and 60 in Fig. 2) and at 1414 cm<sup>-1</sup> with vinyl 4-*tert*-butylbenzoate treated wood (77 in Fig. 2), have been assigned to some C=C stretching vibrations of the aromatic ring. The scissoring vibrations of the methyl groups ( $\delta_s$  [CH<sub>3</sub>]) were identified in the 1360–1375 cm<sup>-1</sup> region, only in those samples with short acyl chains (i.e. wood treated by vinyl propionate and vinyl butyrate). The corresponding band was also identified in the spectrum of acetylated wood, in our previous study (Jebrane & Sèbe, 2007). All the samples esterified with compounds containing unsaturated acyl groups displayed an absorption band at about 1315 cm<sup>-1</sup>, which was assigned to in-plane =C–H bending

Table 1  
Weight percentage gains (WPG) and substitution rates obtained after the transesterification of different saturated and unsaturated vinyl esters with wood hydroxyl groups

Vinyl esters [CH <sub>2</sub> =CH–O–CO–R]	–R	WPG (%)	OH groups substituted (mmol/g dry wood)
Vinyl propionate	–CH <sub>2</sub> –CH <sub>3</sub>	23.6	4.2
Vinyl butyrate	–CH <sub>2</sub> –CH <sub>2</sub> –CH <sub>3</sub>	30.0	4.3
Vinyl pivalate		15.2	1.8
Vinyl decanoate	–CH <sub>2</sub> –(CH <sub>2</sub> ) <sub>7</sub> –CH <sub>3</sub>	49.6	3.2
Vinyl stearate	–CH <sub>2</sub> –(CH <sub>2</sub> ) <sub>15</sub> –CH <sub>3</sub>	52.2	2.0
Vinyl crotonate		16.8	2.5
Vinyl methacrylate		24.8	3.6
Vinyl benzoate		46.5	4.5
Vinyl cinnamate		46.3	3.6
Vinyl 4- <i>tert</i> -butylbenzoate		31.8	2.0



**V. propionate tr. w.** 1: 2980; 2: 2945; 3: 2887; 4: 1749; 5: 1463; 6: 1360; 7: 1174; 8: 805. **V. butyrate tr. w.** 9: 2967; 10: 2940; 11: 2879; 12: 1748; 13: 1463; 14: 1375; 15: 1172; 16: 750. **V. pivalate tr. w.** 17: 2972; 18: 2879; 19: 1739; 20: 1479; 21: 1160; 22: 767. **V. decanoate tr. w.** 23: 2927; 24: 2856; 25: 1748; 26: 1463; 27: 1162; 28: 719. **V. stearate tr. w.** 29: 2925; 30: 2853; 31: 1747; 32: 1463; 33: 1163; 34: 718. **V. crotonate tr. w.** 35: 1726; 36: 1654; 37: 1444; 38: 1314; 39: 1168; 40: 970; 41: 836. **V. methacrylate tr. w.** 42: 1727; 43: 1636; 44: 1457; 45: 1314; 46: 1161; 47: 944; 48: 810. **V. benzoate tr. w.** 49: 3066; 50: 1726; 51: 1601; 52: 1455; 53: 1315; 54: 1272; 55: 1108; 56: 708. **V. cinnamate tr. w.** 57: 3046; 58: 1718; 59: 1634; 60: 1452; 61: 1321; 62: 1266; 63: 1201; 64: 1160; 65: 980; 66: 860; 67: 765; 68: 706; 69: 681; 70: 481. **V. 4-tert-butylbenzoate tr. w.** 71: 3068; 72: 2962; 73: 2873; 74: 1727; 75: 1610; 76: 1463; 77: 1414; 78: 1312; 79: 1273; 80: 1189; 81: 1113; 82: 853; 83: 772; 84: 705; 85: 548.

Fig. 2. FTIR absorbance spectra of wood, before and after esterification using different vinyl esters (V., vinyl; tr. w., treated wood).

vibrations ( $\delta$  [ $=C-H$ ]). For the out-of-plane bending mode ( $\gamma$  [ $=C-H$ ]), different frequencies are expected in the 700–1000  $\text{cm}^{-1}$  region, depending on the substitution pattern of the grafted alkene or aryl group (Silverstein et al., 1991). One band is expected at about 890  $\text{cm}^{-1}$  for wood treated by vinyl methacrylate (geminal configuration), at 960–980  $\text{cm}^{-1}$  for wood treated by vinyl crotonate and vinyl cinnamate (transconfiguration) and at 800–860  $\text{cm}^{-1}$  for wood treated by vinyl 4-*tert*-butylbenzoate (para-disubstituted aryl). These bands were identified in Fig. 2 as 47 (vinyl methacrylate treated wood), 40 (vinyl crotonate treated wood), 65 (vinyl cinnamate treated wood) and 82 (vinyl 4-*tert*-butylbenzoate treated wood), respectively. Two other bands were expected with vinyl benzoate and vinyl

cinnamate treated wood, at 730–770 and 680–720  $\text{cm}^{-1}$  (mono-substituted aryl). These bands were identified as 68 and 69 in the spectrum of vinyl cinnamate treated wood, but the involvement of band 67 (instead of the 68 or instead of the 69) cannot be excluded. With the vinyl benzoate treated sample, only one vibration was detected (56), but since the band is broad at the base, it could account for the two expected frequencies. The C–O stretching vibrations of esters generally consists of two coupled asymmetric vibrations: the strong O–(C=O) absorption at 1150–1300  $\text{cm}^{-1}$  and the weaker C–O(C=O) one at 1000–1150  $\text{cm}^{-1}$ . Only the first one was detected in most spectra, at about 1160  $\text{cm}^{-1}$  (wood treated by vinyl propionate, vinyl butyrate, vinyl pivalate, vinyl decanoate, vinyl



Table 2

Assignment of the FTIR vibrations associated with the grafted acyl groups in wood after esterification with different vinyl esters

Vibration bands	Absorption range (cm <sup>-1</sup> )	Assignments <sup>a</sup>
49, 57, 71	3068–3046	$\nu$ [=C–H]
1, 9, 17, 72	2980–2962	$\nu_{as}$ [CH <sub>3</sub> ]
2, 10, 23, 29	2945–2925	$\nu_{as}$ [CH <sub>2</sub> ]
3, 11, 18, 73	2887–2873	$\nu_s$ [CH <sub>3</sub> ]
24, 30	2853–2856	$\nu_s$ [CH <sub>2</sub> ]
4, 12, 19, 25, 31, 35, 42, 50, 58, 74	1749–1718	$\nu$ [C=O]
36, 43, 51, 59, 52, 60, 75, 77	1654–1601	$\nu$ [C=C]
5, 13, 20, 26, 32, 37, 44, 76	1479–1452	$\delta_{as}$ [CH <sub>3</sub> ] and $\delta_s$ [CH <sub>2</sub> ]
6, 14	1375–1360	$\delta_s$ [CH <sub>3</sub> ]
38, 45, 53, 61, 78	1312–1321	$\delta$ [=C–H]
7, 15, 21, 27, 33, 39, 46, 54, 55, 64, 79, 81	1272–1108	$\nu$ [C–O]
40, 47, 56, 65, 68, 69, 82	706–970	$\gamma$ [=C–H]
16, 22, 28, 34	805–718	$\delta_{as}$ [CH <sub>2</sub> ]
8, 41, 48, 62, 63, 66, 67, 70, 80, 83, 84, 85	–	Not assigned

<sup>a</sup>  $\nu$ , stretching vibration;  $\delta$ , in-plane bending;  $\gamma$ , out-of-plane bending; as, asymmetrical; s, symmetrical.

stearate, vinyl crotonate, vinyl methacrylate, vinyl cinnamate) or 1270 cm<sup>-1</sup> (wood treated by vinyl benzoate and vinyl 4-*tert*-butylbenzoate). Such a difference between the esters of aromatic acids and the other esters is in agreement with the literature data (Silverstein et al., 1991). The additional vibration detected at about 1110 cm<sup>-1</sup> in the spectra of vinyl benzoate and vinyl cinnamate treated wood (55 and 81, respectively) was assigned to the second C–O stretching vibration. Finally, the bands observed in the 720 cm<sup>-1</sup> region of the samples treated by vinyl butyrate, vinyl pivalate, vinyl decanoate and vinyl stearate, were assigned to the methylene rocking vibrations expected with long acyl chains ( $\delta_{as}$  [CH<sub>2</sub>]). The infrared vibrations that could not be assigned at this stage of the study are reported in Table 2. Some of them could be associated with the ring torsion of the aryl group (bands 67, 83 and 84).

The modified samples were also characterised by <sup>13</sup>C CP–MAS NMR spectroscopy (Fig. 3). The carbons of the grafted acyl groups were assigned directly on the spectra, according to the nomenclature given on the left of each spectrum. In unmodified wood, the dominant pattern corresponds to the carbons of cellulose: C<sub>1</sub> (108 ppm), C<sub>4</sub> crystalline (92 ppm), C<sub>4</sub> amorphous (86 ppm), C<sub>2</sub>/C<sub>3</sub>/C<sub>5</sub> (75 and 78 ppm), C<sub>6</sub> crystalline (68 ppm) and C<sub>6</sub> amorphous (65 ppm) (Attala, Gast, Sindorf, Bartuska, & Maciel, 1980; Attala & VanderHart, 1999; Gilardi, Abis, & Cass, 1995; Kim & Newman, 1995; Sterk, Sattler, & Esterbauer, 1987). The carbons of the hemicelluloses are expected in the same region, but since they resonate in the form of a broad background they are hidden by the strong cellulose signals (Gilardi et al., 1995; Kim et al., 1995; Sterk et al., 1987). The chemical shift at 59 ppm corresponds to lignin methoxy groups and the broad signal between 145 and 160 ppm to aromatic rings of lignin. After esterification, the carbons of the grafted moieties were clearly identified in all spectra, confirming the success of the reaction. The small signal observed at 23–24 ppm in most spectra, was assigned to the methyl carbon of the acetyl groups found

in hemicelluloses (Gilardi et al., 1995; Kim et al., 1995). The shoulder at 175 ppm in the spectrum of vinyl crotonate treated sample probably corresponds to the carbonyl of these same acetyl groups, which resonates downfield of the conjugated carbonyl  $\alpha$ . The chemical shifts at 53 ppm with vinyl benzoate and vinyl cinnamate treated wood were identified as spinning sidebands arising from the benzoyl group (identified by varying the spinning velocity). A decrease in the intensity of the signal at 65 ppm was noted in most spectra, indicating that cellulose and/or hemicelluloses were modified to some extent. The decrease seemed to be stronger after reaction with the smaller reactants like vinyl propionate and vinyl crotonate. It was even stronger with vinyl acetate (Jebrane & Sèbe, 2007). The C<sub>2</sub>/C<sub>3</sub>/C<sub>5</sub> region was also modified in some cases (with wood treated by vinyl propionate, vinyl butyrate and vinyl acetate mostly).

#### 4. Conclusions

The objective of the present study was to investigate the feasibility of esterifying wood, based on a novel reaction between vinyl esters and wood. The interchange reaction between alkoxyl (and/or phenoxyl) groups of wood and varied saturated and unsaturated vinyl esters was examined and results indicated that wood was esterified in high yield. During the reaction, vinyl alcohol is formed as a by-product and then tautomerizes to acetaldehyde. Hence, the transesterification equilibrium is naturally shifted towards the ester formation. The number of OH groups substituted in wood was found to decrease with increasing molecular weight of the reactant, probably because of differences in the kinetics of diffusion within the wood cell walls. The grafting of the acyl moieties was confirmed by weight percent gain calculations (WPG), FTIR spectroscopy and <sup>13</sup>C CP–MAS NMR analysis. The signals corresponding to the grafted acyl groups in the different spectra were thoroughly assigned. This transesterification method has a clear



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